Academic Regulation on Academic Progress

- 1. A student is permitted to proceed with their approved course of study only if they maintain acceptable progress as evidenced by attendance at classes, satisfactory performance in assessments and the proper completion of such other work as may be allotted to the student.
- 2. Faculty Pro Vice-Chancellors (or deputised authority) shall have power to recommend that a student, whose academic performance has not met the required levels, should be excluded from University either temporarily or permanently.
- 3. Such requirements may be either absolute or conditional on the student in question failing to comply with a requirement relating to their studies which has been imposed by the Faculty Pro Vice-Chancellor (or deputised authority).

4. UNDERGRADUATE AND TAUGHT POSTGRADUATE STUDENTS WHO DO NOT MEET THE REQUIREMENTS FOR ENGAGEMENT WITH THEIR STUDIES AND/OR SUBMISSION OF WORK

- 4.1 The University requires students to engage with all scheduled activities (in person and online) and materials made available through the Virtual Learning Environment (Blackboard) and Lecture Capture (Panopto). These shall include but shall not be limited to lectures, seminars, practical classes and tutorials. The University may also require students to comply with additional attendance requirements to enable the University to comply with external agencies. Fraudulent use of attendance and/or engagement monitoring systems could lead to disciplinary action under the Rules and Regulations for Students.
- 4.2 At the start of each session, Faculties shall inform students taking their modules of University requirements for academic engagement and submission of work, and any additional Faculty specific requirements. Students should ensure that they understand these requirements fully. Where a student is identified as having not met the requirements for academic engagements and/or submission of work, the Faculty Pro Vice-Chancellor (or deputised authority), should interview the student and undertake such other formal consultation as they consider necessary.
- 4.3 If after interviewing the student and after any appropriate consultation no satisfactory explanation has emerged, the Faculty Pro Vice-Chancellor (or deputised authority) should issue a formal warning that unless the student's progress improves, their registration will be terminated either temporarily or permanently.
- 4.4 In the event that the student's progress does not improve, or if the student does not attend an interview, the Faculty Pro Vice-Chancellor (or deputised authority) shall submit a report to the Academic Registrar (or nominee) recommending that the student's registration is terminated either temporarily or permanently. The Academic Registrar (or nominee) shall verify that this Regulation and the guidance contained in Academic Quality Handbook have been followed and then shall issue formal notification to the student of permanent or temporary exclusion.
- 4.5 A student who has been identified as not having not met the required levels of attendance and academic progress during the third term shall be interviewed as in 4.2 above. Such a student's registration shall not be terminated at that time, but the student shall be informed that the relevant Examining Boards at the end of the session shall take this into account and may decide that the student should not be allowed to return to University.

- 4.6 Where a decision to terminate a student's registration has been made at a departmental Examination Board, that decision should be recorded in the departmental Examination Board minutes, and the associated paperwork forwarded to Academic Registry. Senate Exam Board will confirm students whose registration is to be terminated, and Academic Registry will issue exclusion letters following the Boards. The Senate Examination Board recommendation to terminate a student's registration on a permanent or temporary basis will be subject to Academic Registry verification that the Academic Regulation on Academic Progress procedure has been followed by faculties.
- 4.7 All template forms can be found in section 3.13 of the AQH: https://www.aber.ac.uk/en/academic-registry/handbook/taught-schemes/.
- 4.8 The Faculty will inform the Academic Registry of its decisions in every case. The Academic Registrar (or nominee) will inform the student in writing within five working days of a decision to exclude and of the student's right to an appeal.
- 4.9 The student has 10 working days in which to submit an appeal. A copy of the Academic Appeal Procedures can be found at https://www.aber.ac.uk/en/academic-registry/handbook/appeals/)

5. RESEARCH POSTGRADUATES

- 5.1 A research postgraduate student is permitted to proceed with their approved course of study only if they maintain acceptable progress in relation to the programme of work and the timetable agreed by the supervisor(s) including the submission of written drafts within agreed periods of time.
- 5.2 The Head of the Graduate School (or nominee), on the recommendation of the Faculty/Department Research Monitoring Committee, shall have the power to require a student whose academic performance is inadequate to be:
- 5.2.1 prevented from proceeding from the probationary period;
- 5.2.2 not allowed to proceed to the next year of research;
- 5.2.3 not permitted to upgrade from a Research Masters' to a Doctorate;
- 5.2.4 downgraded from a Doctorate to a Research Masters';
- 5.2.5 excluded from the University.
- 5.3 Such requirements may be either absolute or conditional on the student in question not meeting a requirement relating to their studies which has been imposed by the Research Monitoring Committee.
- 5.4 The Head of the Graduate School (or nominee) should notify the Academic Registrar (or nominee) using the Monitoring Student Progress Template H. The Academic Registrar (or nominee) will inform the student in writing within five days of such decisions, and of the student's right to an appeal.
- 5.5 All template forms can be found in section 3.13 of the AQH: https://www.aber.ac.uk/en/academic-registry/handbook/taught-schemes/
- 5.6 A student has 10 working days from the date of the letter in which to formally request a Review against a decision by a Research Monitoring Committee. A copy of the Academic Appeal Procedures can be found at https://www.aber.ac.uk/en/academic-registry/handbook/appeals/

Reviewed: September 2024

Regulation on Unacceptable Academic Practice

- <u>1. Introduction</u>
- 1.1 Throughout this document the term 'University' refers to the Aberystwyth University. The terms 'Chair of the Examination Board', 'Assistant Registrar (Examinations)', 'Academic Registrar' and 'Pro Vice-Chancellor' may include designated members of staff acting on behalf of these staff.
- 1.2 Students and members of staff should read this document in conjunction with the relevant section of the Academic Quality Handbook.
- 1.3 The University will normally resolve all cases within six weeks of sending initial notification of the allegation to the student.
- 1.4 The university recognises that a referral to the Unacceptable Academic Practice process is often preceded by and/or accompanied by other adversity and an escalation in distress. Students are therefore urged to seek confidential support from Wellbeing, which does not disclose its work with the wider university except where there is a serious safeguarding risk. Students may also wish to seek support from the Students 'Union, who can help by guiding you through the process and can support you by attending the panel meeting with you.
 - 2. Definition of Unacceptable Academic Practice
- 2.1 It is Unacceptable Academic Practice to commit any act whereby a person may obtain, for themselves or for another, an unpermitted advantage. The Regulation shall apply, and a student may be found to have committed Unacceptable Academic Practice, regardless of a student's intention and the outcome of the act, and whether the student acts alone or in conjunction with another/others. Any action or actions shall be deemed to fall within this definition, whether occurring during, or in relation to, a formal examination, a piece of coursework, the presentation of medical or other evidence to Examination Boards, or any form of assessment undertaken in pursuit of a University qualification or award.
- 2.2 The University recognises the following categories of Unacceptable Academic Practice.

 These are not exhaustive, and other cases may fall within the general definition of Unacceptable Academic Practice.

(i) Plagiarism

Plagiarism is defined as using another person's work and presenting it as one's own, whether intentionally or unintentionally. Examples of plagiarism include:

- o Use of quotation without the use of quotation marks
- o copying another person's work
- o unacknowledged translation of another person's work
- o paraphrasing or adapting another person's work without due acknowledgment
- o unacknowledged use of material downloaded from the internet
- o use of material obtained from essay banks or similar agencies
- o presenting work generated by AI as if it were your own

(ii) Collusion

Collusion occurs when work that has been undertaken by or with others is submitted and passed off as solely the work of one person. When the work of one or more individuals is submitted in the name of others with the knowledge of the originator(s) of the work, all parties concerned may be considered to have committed Unacceptable Academic Practice.

Students who upload copies of their own (or others') assignments to academic file-sharing sites may be deemed to have committed unacceptable academic practice on the grounds that such activity facilitates plagiarism and is tantamount to collusion, except where this is explicitly permitted by the department

Examples of collusion include (but are not limited to)

- o Two or more students working together and presenting the work as their own
- Sharing data or other information which is presented without the knowledge or acknowledgement of the originators
- o Sharing answers during an online examination or timed assessment
- Submitting examples of work to academic file-sharing websites

(iii) Fabrication of evidence or data

Fabrication of evidence or data and/or use of such evidence or data in assessed work include making false claims to have carried out experiments, observations, interviews or other forms of data collection and analysis. Fabrication of evidence or data and/or use of such evidence or data also include presenting false or falsified evidence of special circumstances to Examination Boards or Appeal Panels.

(iv) Unacceptable Academic Practice in formal examinations

Examples of Unacceptable Academic Practice in formal examinations include the following:

- o introducing into an examination room and/or associated facilities any unauthorised form of material such as a book, a manuscript, data or loose papers, electronic device, information obtained via any electronic device, or any source of unauthorised information, regardless of whether these materials are of relevance to the subject in question
- o copying from, or communicating with, any other person in the examination room and/or associated facilities except as authorised by an invigilator
- communicating electronically with any other person, except as authorised by an invigilator
- o impersonating an examination candidate or allowing oneself to be impersonated
- presenting an examination script as one's own work when the script includes material produced by unauthorised means
- Failing to comply with written directions to candidates in formal examinations, and verbal instructions by examination invigilators

An electronic device shall be considered to be within this Regulation if it is capable of any of the following: communicating electronically within or outside an examination room, connecting to the internet, being uploaded with digital data or information, making audio recordings, a digital memory or storing of audio recordings, uploading digital data or information to another device, displaying digital data or information or playing audio recordings.

All of the following fall into this category, but the list is not exclusive and other unspecified devices will fall under the Regulation: mobile telephones, smart watches, laptop computers, tablets, data storage devices, Bluetooth receivers, headphones, i-pods, electronic calculators other than those specifically allowed in university examinations.

(v) Recycling of data or text

Recycling of data or text in more than one assessment, when this is explicitly not permitted by the Department.

- 3. Report of Suspected Unacceptable Academic Practice in Examinations
- 3.1 When it is suspected that a student is engaging in Unacceptable Academic Practice in a formal examination, the student shall be informed, preferably in the presence of a witness, that the circumstances will be reported. The student shall, however, be allowed to continue the examination and any subsequent examination(s) without prejudice to any decision that may be taken. Failure to give such a warning shall not, however, prejudice subsequent proceedings.
- 3.2 Where candidates fail to comply with written or verbal instructions in an examination the invigilator shall report the circumstances to the Assistant Registrar (Examinations) who shall interview the student and decide a penalty (see section 7).
- 3.3 In the case of a student being found in possession of any unauthorised electronic device (as defined in section 2.1(iv), which has **not** been used or where there is **no evidence** that it has been used, the invigilator shall confiscate the device and report the circumstances to the Assistant Registrar (Examinations). The Assistant Registrar (Examinations) shall interview the student then decide whether to issue a penalty (see section 7).
- 3.4 Where there is suspicion/evidence that the electronic device may have been used, the invigilator shall confiscate the device and report the circumstances to the Assistant Registrar (Examinations) who shall, as soon as possible, submit a written report, with any evidence retained, to the Academic Registry, for referral to the Chair of the relevant Examination Board.
- 3.5 In the case of a student being found with unauthorised material, and where it can be clearly established that it is of no relevance to the exam paper, the invigilator shall confiscate the material and report the circumstances to the Assistant Registrar (Examinations) who shall interview the student and decide if the case is substantiated.
- 3.6 Where there is suspicion/evidence that unauthorised material is directly relevant to the examination, the invigilator shall confiscate the material and the Assistant Registrar (Examinations) shall, as soon as possible, submit a written report, with any evidence retained, to the Academic Registry, for referral to the Chair of the relevant Examination Board.
- 3.7 In cases where a student has written on their person a photograph of the evidence may be taken as evidence.

- 3.8 The Assistant Registrar (Examinations) may request that a member of staff from the academic department attend the examination and confirm whether unauthorised material is relevant to the subject/examination.
- 3.9 Where an invigilator suspects other forms of unacceptable academic practice not listed above this shall be reported to the Assistant Registrar (Examinations) who shall, as soon as possible, submit a written report, with any evidence retained, to the Academic Registry, for referral to the Chair of the relevant Examination Board.
 - 4. Reporting of Suspected Unacceptable Academic Practice in Non-examinations conditions
- 4.1 All staff who suspect that Unacceptable Academic Practice has occurred should submit a written report to the Chair of the relevant Examination Board, along with the relevant documentary evidence, according to the Guidance on Academic Practice.
- 4.2 It is the responsibility of members of staff who submit a report of suspected Unacceptable Academic Practice to provide all relevant documentary evidence, including statements from witnesses and copies of suspected sources.
- 4.3 In the case of a test contributing to the final module result, which is conducted under the aegis of the Department, the invigilator shall report to the Chair of the relevant Examination Board.
- 4.4 An internal or external examiner or any other person who, whether during the marking period or subsequently suspects that a student has engaged in Unacceptable Academic Practice, shall report the matter in writing to the Chair of the relevant Examination Board as soon as possible.
 - 5. Suspected Unacceptable Academic Practice in research degrees
- 5.1 If a member of staff or an examiner suspects Unacceptable Academic Practice in a research thesis or other work submitted for examination for a research degree, the case shall be referred to the Academic Registry, who will convene the University Panel to consider the case, according to section 11 of the Regulation.
- 5.2 If a member of staff or an examiner suspects Unacceptable Academic Practice in taught research training modules taken by a research student, the allegation should be reported and investigated as for taught modules (detailed in sections 2-11). The Faculty in which the student is registered shall convene a panel where a Faculty Panel is required.
 - 6. Initial Action to be taken by the Chair of the Relevant Examination Board
- 6.1 On receipt of a written report concerning suspected Unacceptable Academic Practice, the Chair of the relevant Examination Board shall review the report and the evidence which has been provided, and apply one of the following procedures, after consulting the relevant section of the Academic Quality Handbook:
- i) Investigation by Chair of the Examination Board;
- ii) Investigation by Faculty Panel;
- iii) Investigation by University Panel.
 - 7. Investigation by Assistant Registrar (Examinations)

- 7.1 On receipt of a report concerning suspected Unacceptable Academic Practice in a formal examination, the Assistant Registrar shall proceed to consider the suspected case on the basis of the evidence which has been presented.
- 7.2 If the Assistant Registrar is satisfied, on the balance of probabilities, that the allegation of Unacceptable Academic Practice has been substantiated, a written report shall be submitted to the Academic Registry. The Academic Registry will check that procedures have been correctly followed, and will inform the student of the outcome, and the penalty which will be applied. The student will also be informed of the right to request a review or request that the case is referred to the Faculty Panel for investigation.
- 7.3 If the Assistant Registrar is satisfied that there is no case of Unacceptable Academic Practice, a written report shall be submitted to the Academic Registry. The Academic Registry will check that procedures have been correctly followed before informing the student of the outcome, and that no further action will be taken.
 - 8. Investigation by the Chair of the Examination Board
- 8.1 On receipt of a report concerning suspected Unacceptable Academic Practice, the Chair shall proceed to consider the suspected case on the basis of the evidence which has been presented.
- 8.2 If the Chair is satisfied, on the balance of probabilities, that the allegation of Unacceptable Academic Practice has been substantiated, a written report shall be submitted to the Academic Registry. The Academic Registry will check that procedures have been correctly followed, and will inform the student of the outcome, and the penalty which will be applied. The student will also be informed of the right to request a review or request that the case is referred to the Faculty Panel for investigation.
- 8.3 Once the outcome has been confirmed for students registered on professionally accredited courses, the Chair of the Examination Board will notify the scheme coordinator who should consider if a referral to the fitness to practice panel is also necessary.
- 8.4 If the Chair is satisfied that there is no case of Unacceptable Academic Practice, a written report shall be submitted to the Academic Registry. The Academic Registry will check that procedures have been correctly followed before informing the student of the outcome, and that no further action will be taken.
 - 9. Investigation by Faculty Panel
- 9.1 On receipt of a report concerning suspected Unacceptable Academic Practice, the Faculty shall convene a small panel to investigate the case. The panel shall be composed of the Chair of the Examination Board and at least one member of academic staff not associated with the assessment in question. Where possible, panel members should not have been involved with previous cases of UAP for the same student.
- 9.2 Staff who have made the allegation of Unacceptable Academic Practice should not be members of the panel and should take no part in the decision.
- 9.3 The Faculty shall inform the student of the date, place and time of the panel meeting, and that they have the opportunity to attend the meeting. The student should be notified as to the identities of panel members in advance of the meeting and can raise conflicts of interest prior to the meeting.

- 9.4 The student shall have the opportunity to submit written evidence in advance of the panel meeting, including evidence of special circumstances.
- 9.5 Documentary evidence shall be sent to the student before the date of the meeting and circulated to panel members. Any further evidence made available on the date of the meeting may be presented to the panel, but only with the express permission of the Chair.
- 9.6 The student may be represented by an advisor from the Students' Union. Representation by other persons will be at the discretion of the Chair, and any requests for such representation should be made in writing to the Chair in advance of the panel meeting. Legal representation at the meeting will not normally be permitted.
- 9.7 Where a student, without good reason, fails to attend a panel meeting, the meeting may proceed in their absence.
 - 10. Interview to determine Authenticity of Work
- 10.1 On receipt of a report concerning suspected unacceptable academic practice where there is uncertainly if the work submitted by the student is their own, and where the member of staff is not able to find documented evidence to support a case of UAP, for example if it is suspected the work has been obtained from an essay bank or generated through AI software, the Chair of Examination Board may determine that an interview to determine authenticity of work be held to establish the authenticity of the work submitted.
- 10.2 The department shall inform the student of the date, time and place of the interview and that they have the opportunity to attend the interview.
- 10.3 Because there is reason to believe the work is not entirely a students' own work, the purpose of the interview is to test the student's knowledge of the work they have submitted and to provide the student with the opportunity to demonstrate the work is their own, prior to a UAP panel investigation (if appropriate).
- 10.4 The interview panel shall be composed of a Chair who is independent to the allegation, and a subject expert (normally the marker or module coordinator). A record of the interview must be kept in the form of written minutes and may be added to the evidence of a UAP allegation.
- 10.5 The student shall have the opportunity to submit evidence relating to the allegation including preparatory work such as drafts and feedback. They may be represented by an advisor from the Students' Union. Representation by other persons will be at the discretion of the Chair, and any requests for such representation should be made in writing to the Chair in advance of the interview panel meeting. Legal representation at the meeting will not normally be permitted.
- 10.6 Following the interview the panel will report their opinion on the student's knowledge of the work and the reasons for this conclusion.
- 10.7 Where the interview panel determine the student has not demonstrated the work is their own the Chair of the Examination Board will refer the case to a UAP panel to investigate an allegation of Unacceptable Academic Practice along with details of the allegation and the report from the interview.
- 10.8 Where a student admits that they committed unfair academic practice as part of the interview process the Chair of Examination Board will refer the case to the Academic Registry to determine the appropriate penalty. There will not be a requirement for a UAP panel to meet with

the student. The student will have an opportunity to request that their case is considered by a UAP panel if the student is dissatisfied with the outcome of the interview.

10.9 Where the interview panel determine the student has demonstrated the work is their own the Chair of the Examination Board will inform the module coordinator that the work should be marked according to published departmental criteria and inform the student that no further action will be taken.

11. Investigation by University Panel

- 11.1 The University shall establish a Standing Panel to investigate suspected cases of Unacceptable Academic Practice. Each Faculty shall nominate members of academic staff to serve on the Standing Panel.
- 11.2 On receipt of an allegation of Unacceptable Academic Practice from the Chair of an Examination Board, the Academic Registry shall arrange for a University Panel to be convened. The Chair of the Examination Board shall submit a written report relating to the case, along with all relevant written evidence.
- 11.3 The University Panel shall consist of three members selected from the Standing Panel, one of whom shall be designated as the Chair. No member of any University Panel shall be drawn from the student's Department(s) and where possible should not have been involved with previous cases of UAP for the same students.
- 11.4 The Secretary to the University Panel shall inform the student of the date, place and time of the panel meeting, and that they have the opportunity to attend the meeting. The student should be notified as to the identities of panel members in advance of the meeting and can raise conflicts of interest prior to the meeting.
- 11.5 The student shall have the opportunity to submit written evidence in advance of the panel meeting, including evidence of special circumstances.
- 11.6 Documentary evidence shall be sent to the student before the date of the meeting and circulated to panel members. Any further evidence made available on the date of the meeting may be presented to the panel, but only with the express permission of the Chair.
- 11.7 The student may be represented by an advisor from the Students' Union. Representation by other persons will be at the discretion of the Chair, and any requests for such representation should be made in writing to the Chair in advance of the panel meeting. Legal representation at the meeting will not normally be permitted.
- 11.8 Where a student, without good reason, fails to attend a panel meeting, the meeting may proceed in their absence.
 - 12. Functions of the Faculty/University Panel
- 12.1 The function of such panels shall be:
- i) to consider the evidence submitted to it on the suspected Unacceptable Academic Practice;
- ii) to determine whether the allegation has been substantiated on the balance of probabilities;
- iii) to determine, in cases where the allegation has been substantiated, any penalty to be applied.

• 13. Procedure during the meeting

- 13.1 When two or more students are suspected of related instances of Unacceptable Academic Practice, the Chair of the panel may decide to deal with the cases together. However, each student shall be given the opportunity to request that the cases be heard separately.
- 13.2 The Chair shall present an outline of the case against the student, referring to the evidence which has been submitted for consideration by the panel. The panel may ask questions of the student.
- 13.3 The student shall have the right to hear all the evidence relating to the case before responding to the allegation, and to make a response in person to the panel. Additional documentary evidence including evidence of special circumstances may not be presented to the panel on the day of the meeting without the express permission of the Chair.
- 13.4 When the presentation of the evidence and the student's response are completed, all persons, other than members of the panel, and the secretary if present, shall withdraw.
- 13.5 The Panel may, after the panel meeting, contact the relevant academic department to seek verification of any claims made by the student, or seek further information which it may consider when determining an outcome.
- 13.6 If the panel is satisfied, on the balance of probabilities, that the allegation of Unacceptable Academic Practice has been substantiated, a written report shall be submitted to the Academic Registry. The Academic Registry will check that procedures have been correctly followed, and will inform the student of the outcome, the penalty which will be applied, and also of the right to request a review.
- 13.7 Once the outcome has been confirmed for students registered on professionally accredited courses, the Chair of the Examination Board will notify the scheme coordinator who should consider if a referral to the fitness to practise panel is also necessary.
- 13.8 If the panel is satisfied that there is no case of Unacceptable Academic Practice, a written report shall be submitted to the Academic Registry. The Academic Registry will check that procedures have been correctly followed before informing the student of the outcome, and that no further action will be taken.
- 13.9 Whether or not the finding of the panel is that the case has been substantiated, the student may be informed orally of the outcome; but there shall be no discussion of the decision with the student.
- 13.10 Students should be aware that any claims made during an interview to determine authenticity, UAP panel or any investigation into an alleged UAP may be checked with the academic department following the interview, panel or investigation. Any false claims may lead to further disciplinary action. If false claims are identified after a penalty has been determined, the penalty may be reviewed.
 - 14. Penalties for Unacceptable Academic Practice in taught schemes (undergraduate and postgraduate)
- 14.1 The Assistant Registrar (Examinations) shall apply one for the following penalties. These are outside of the points-based system and offences do not count towards other allegations of unacceptable academic practice.

- (i) The penalty for a **first offence** shall be the issue of a formal written warning to the candidate.
- (ii) The penalty for a **second or subsequent** offence shall be a maximum mark of 39 where the pass mark is 40 or 49 where the pass mark is 50 for the assessment. The student may resit the module for a capped mark if the module is failed, subject to resit opportunities being available to them.
- 14.2 Chairs of Examination Boards, and Faculty and University panels, shall apply one of the penalties which are set out in the Guidance on Academic Practice. Penalties shall be applied on the basis of a points-based system, with consideration of the following elements:
- (i) Previous record of Unacceptable Academic Practice;
- (ii) Extent and severity of Unacceptable Academic Practice;
- (iii) Level of study.
- 14.3 Previous allegations of UAP should not be taken into account when determining if UAP has occurred and panels should not be made aware of previous allegations of UAP until it has been determined if the allegation is substantiated or not. Once a decision has been made the panel may consider prior substantiated cases of UAP in determining the penalty as set out in the points-based system.
- 14.4 Panels may not take special circumstances into consideration in determining whether Unacceptable Academic Practice has occurred. Panels may however take exceptional personal circumstances into consideration in applying penalties, where it can be shown that these are relevant to the case. In such cases the student must show good reason why such personal circumstances could not previously have been submitted to the Faculty so that an extension to the submission deadline, or other adjustments to the assessment concerned, could be considered. Recommendations to reduce penalties on grounds of special circumstances shall be submitted to the Academic Registry for consideration by the Academic Registrar or their nominee.
- 14.5 Panels may not take the impact on progression into consideration in determining whether Unacceptable Academic Practice has occurred. Panels may however take the impact on progression into account when applying penalties if the penalty is likely to have an unintended impact on the student. Recommendations to reduce penalties on grounds of unintended impact shall be submitted to the Academic Registry for consideration by the Academic Registrar or their nominee.
- 14.6 Penalties shall be applied according to the points-based penalty system. In exceptional cases the Chair of the relevant Examination Board / Chair of the Faculty or University Panel may recommend a more severe penalty. Recommendations should be submitted to the Academic Registry, providing a full case in writing in support of the recommendations. In such cases the Chair of the Senate Examination Board shall apply one, or a combination, of the following penalties:
- (i) Exclusion of the student from the University for a specific period or permanently;
- (ii) Disqualification of the student from any future examination in the University.
 - 15. Penalties for Unacceptable Academic Practice by postgraduate research degree students

- 15.1 The University shall apply one of the following penalties for Unacceptable Academic Practice in a research thesis or other work submitted for examination for a research degree:
- i) Failure of the thesis, with an opportunity to resubmit;
- ii) Failure of the thesis, with no opportunity to resubmit.
- 15.2 For research training modules Chairs of Examination Boards, and Faculty and University panels, shall apply one of the following penalties, taking into account extent and severity of Unacceptable Academic Practice and previous record of Unacceptable Academic Practice.
- i) For a first offence, except for serious allegations which would normally require a university panel, a mark of zero for the assessment and a requirement to resit the assessment for a capped module mark.
- ii) For a second offence, or a serious allegation that requires a university panel, a mark of zero for the module with a requirement to resit the module. For a third offence, permanent exclusion from the university.
- iii) For a third offence, permanent exclusion from the university.

In the case of the first two penalties, this shall be reported to the relevant Faculty and Graduate School Monitoring Committees and taken into account in determining whether the student has demonstrated sufficient progress to be allowed to continue to the next year of study.

16. Action to be taken following the panel meeting

16.1 The University will not make any public pronouncements of decisions of Faculty/University panels unless a student, in respect of whom a determination has been made, requests the publication of any such determination.

- 17. Pass-lists
- 17.1 If a case of suspected Unacceptable Academic Practice is under investigation at the time of the meeting of the relevant Examination Board, the Board shall defer consideration of the student's work until the outcome has been conveyed by the Academic Registry to the student and the Chair of the Examination Board.
- 17.2 Should a case be under investigation when a pass-list is due for completion, the name of the student concerned shall be withheld from the pass-list, and a supplementary pass-list issued subsequently as appropriate.
- 17.3 If a case of Unacceptable Academic Practice arises after the issue of the original pass list, or after a qualification has been conferred, the Examination Board shall have authority to cancel or amend a result previously confirmed and to issue a supplementary pass list.
 - 18. Review
- 18.1 If a student is dissatisfied with the outcome of the Unacceptable Academic Practice investigation, they can request a review, to be investigated by a Pro Vice-Chancellor. The Final Review procedure can be found at https://www.aber.ac.uk/en/academic-registry/handbook/fr/
 - 19. Formal Reporting Mechanism

19.1 The Academic Registry shall maintain records of all investigations into suspected Unacceptable Academic Practice and shall present an annual report for consideration by the Academic Board of the University.

• 20. General Data Protection Regulation (GDPR)

Information will be processed and retained as is deemed necessary for the University's performance of tasks carried out in the public interest (General Data Protection Regulation Article 6(1)(e)) and under its contractual obligations (General Data Protection Regulation Article 6(1)(b)). It will be retained for one year after completion of your course, unless a Final Review is lodged with the University, or a complaint is lodged with the Office of Independent Adjudicator for Higher Education, in which case the period may be extended.

Appendix

Points-based Penalty System

Chapter updated: September 2024

Points Based Penalty System

A: Description Of Unacceptable Academic Practice						
(i) Previous cases* (including an investigation by the Chair of the Examination Board)						
100 points	First time					
150	Second time					
200	Third time or subsequent					
(ii) Extent ar	(ii) Extent and Severity of UAP					
20	Plagiarism of less than 20% of assignment					
	Collusion affecting less than 20% of assignment					
	Introduction of unauthorised material or unauthorised electronic devices into					
	an examination venue, no evidence of connection to examination paper					
	Recycling data or text in more than one assignment, where this is explicitly					
	not permitted by the Department					
	Failing to comply with written directions to candidates in formal examinations,					
	and verbal instructions by examination invigilators					
130	Plagiarism of between 20% – 50% of assignment					
	Collusion affecting 20% – 50% of assignment					
	Uploading assignments to academic file-sharing sites					
160	Plagiarism of over 50% of assignment					
	Collusion of over 50% of assignment					
	Plagiarism of data or code which is crucial importance to an assignment					
	Introduction of unauthorised materials into an examination venue with					
	evidence of relevance to examination paper, or communication by electronic					
	means during an examination					
	Introduction of electronic devices into an examination venue and evidence of					
	possible use during examination					
	Copying from, or colluding with, another candidate during an examination					
	Producing and presenting an examination script by unauthorised means					
	Fabrication of data, false claims of carrying out experiments, observations,					
	interviews or other forms of data collection					
	Presenting work generated by Al as if it were your own					
220	Impersonation of another candidate in an examination					
	Presenting falsified evidence to an examination board					
240	Assignment submission from essay mill or ghost-writing service					
(iii) Level of Study						
120	Part One Undergraduate (and all students on Exchange Programmes)					
120	Part Two Undergraduate in their first year at AU who have not completed part					
100	one at another UK HEI					
180	Part Two Undergraduate					
220	Postgraduate Taught					

^{*} Number of cases does not include allegations investigated by Assistant Registrar (Examinations)

B: Penalties - all cases except those investigated by Assistant Registrar (Examinations)					
Points	Penalty				
240 – 299	Warning only and no formal penalty of deducting marks, although assessments will be marked according to published departmental criteria				
300 – 379	Maximum mark of 39 for assessment (49 where pass mark is 50), resit module for a capped mark** if module failed (P or F)				
380 – 429	Assessment awarded zero, resit module for a capped mark** if module failed (P or F)				
430 – 479	Module awarded zero, resit module for a capped mark* (P or F). Marks for passed elements may not be carried forward, all elements should be retaken.				
480 – 519	Module awarded a mark of zero, no resit (N)				
520+	Mark of zero for all modules in semester (includes thin modules), no resit(N)				
C: Penalties for allegations investigated by Assistant Registrar (Examinations)					
	Penalty				
First Offence	Warning only and no formal penalty of deducting marks, although assessments will be marked according to published departmental criteria				
Second or subsequent Offence	Maximum mark of 39 for assessment (49 for level 'M' modules), resit for a capped mark** if module failed (P or F)				

^{**}subject to resit opportunities

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Approved:	Academic Registry	Effective From:	September 2023	
Contact:	Quality Assurance <u>uapstaff@aber.ac.uk</u>			